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GRI White Paper #19

Recommended Layout of Instrumentation to Monitor Potential Movement of MSE Walls, Berms and Slopes

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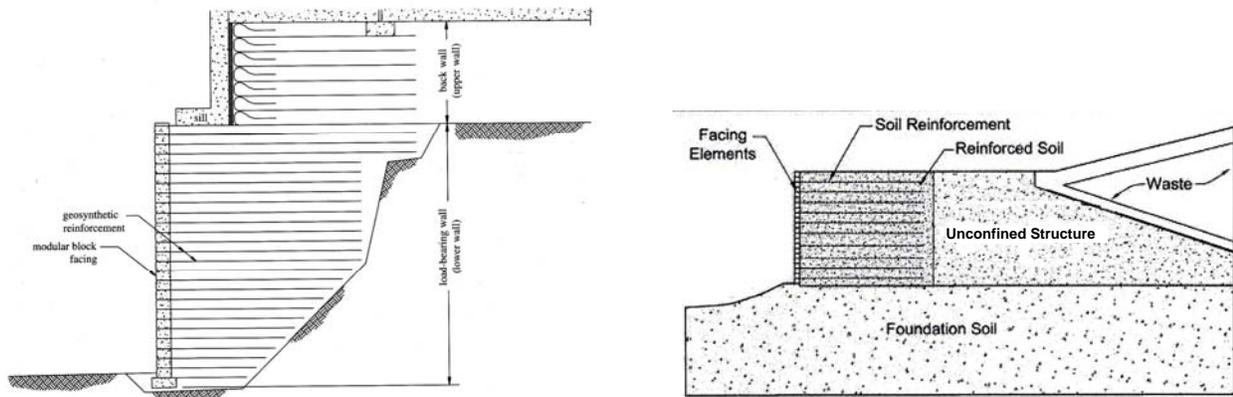
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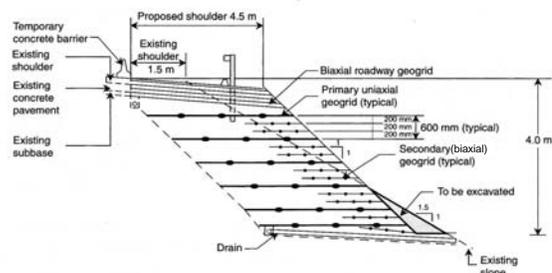
1.0 Background

This white paper is one of an ongoing GRI series which focus on various geosynthetic materials and their applications. All of them are posted on the institute's website for anyone who is interested. For this particular white paper, the subject is mechanically stabilized earth (MSE) walls, berms and steep soil slopes reinforced with either geogrids or geotextiles. MSE technology was introduced in the 1960's and initially used steel strips and then steel mesh, with geosynthetics (first geotextiles and now mainly geogrids) coming on strong beginning in about 1980. Today there are an estimated 40,000 MSE walls, berms and steep slopes reinforced with geosynthetics in the world and they are the most common of all types. Figure 1 shows typical cross-sections.



(a) MSE wall with a bridge abutment surcharge (after Wu, et al. 2006)

(b) Typical MSE berm components in landfill application (after Luettich, 2010)



(c) Shoulder widening using geogrid reinforced steep soil slopes (after Berg et al, 1990)

Figure 1 – Typical cross sections of mechanically stabilized walls, berms and slopes using geosynthetic reinforcement.

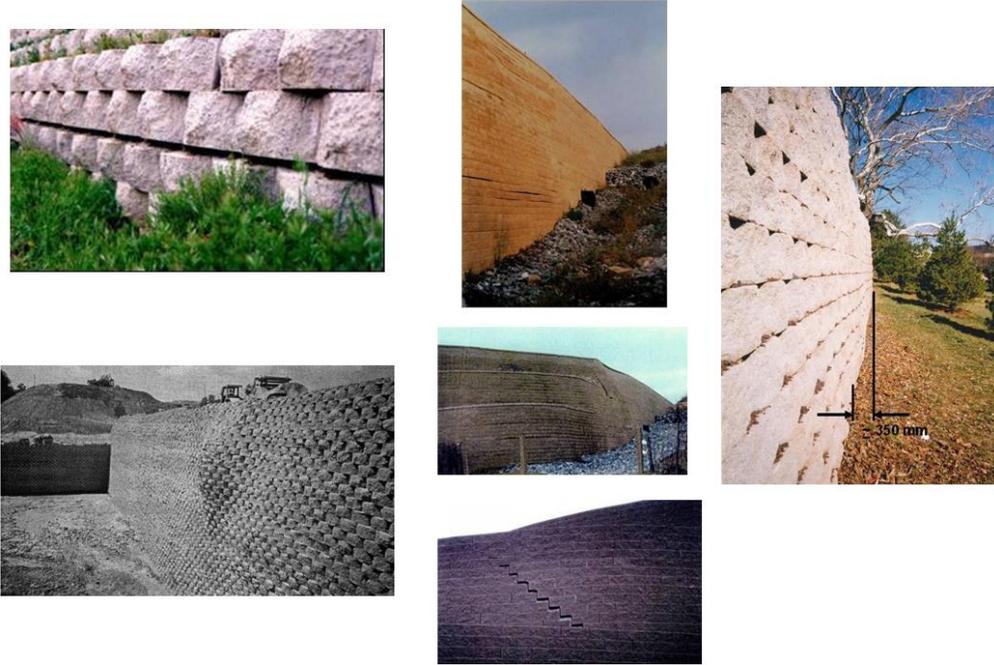
Inasmuch as these structures are aesthetically pleasing, adaptable to all types of conditions, lowest cost of all wall types, and have an extremely low carbon footprint, there have been failures. Estimates of 2 to 4% of walls have experienced either excessive deformation (to which this white paper is focused) or collapse (in which case only the remaining standing sections can be monitored). Koerner and Soong reported on 26 failures in 2001 and then Koerner and Koerner (2009) subsequently reported on 82 such failures. Of these 82 failures, the basic causes are distributed as follows:

- internal reinforced soil zone instability (26%)
- external reinforced soil zone instability (6%)
- internal reinforced soil zone water (46%)
- external reinforced soil zone water (22%)

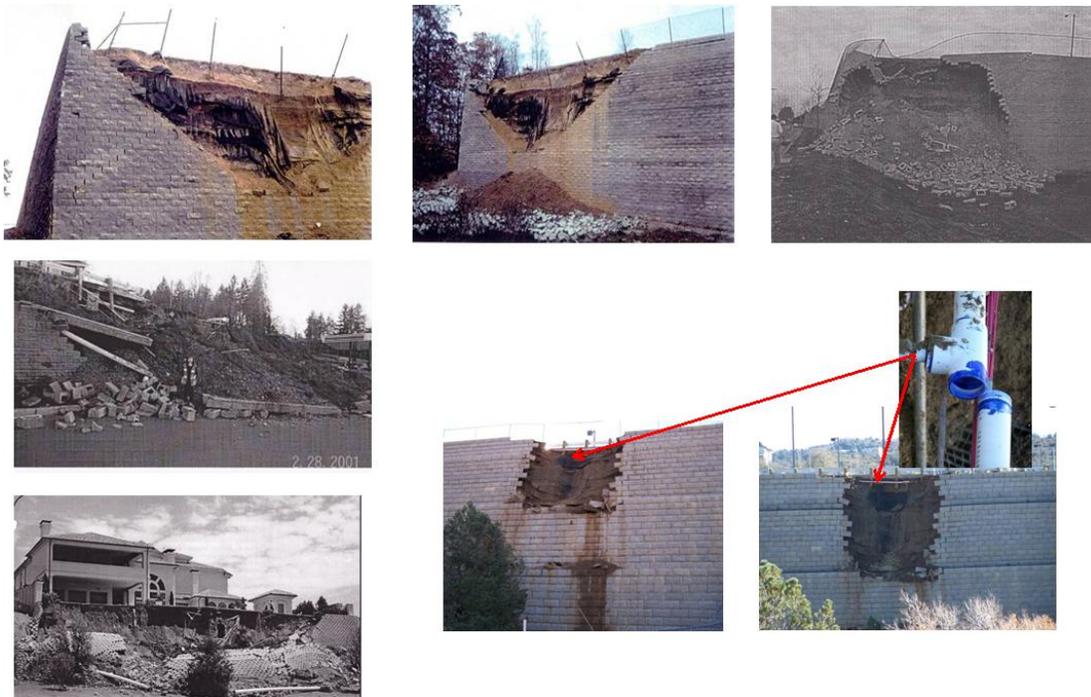
Figure 2a shows examples of MSE walls with excessive deformation. These walls should be considered for monitoring to determine if the deformations are ongoing or if they cease to have further movement. These are, of course, critical assessments. Figure 2b shows examples of MSE walls which have collapsed in whole or part. These situations might also be candidates for monitoring so as to assess if the remaining standing sections on either side of the collapse are moving or are stable.

The failure situation is recently becoming openly discussed, e.g., GSI (2001, 2009), Bachus and Griffin (2010), and McKelvey (2011), and it is hoped that the lessons learned will improve design and construction practices in the very near future. Considering this situation, however, it appears to the authors that some type of geotechnical instrumentation would be prudent to install on behalf of owners, designers, and installers. *This white paper is focused on*

the minimum amount of recommended instrumentation either prior to (which is desirable) or after construction of the wall or slope (usually when movement is visually observed).



(a) Examples of MSE wall *excessive deformations* (after GSI and others)



(b) Examples of MSE wall *collapses* (after GSI and others)

Figure 2 – Examples of mechanically stabilized earth walls illustrating two different failure modes.

2.0 Types of Monitoring to be Considered

There is a wealth of geotechnical instrumentation that is commercially available which is capable of being used to monitor MSE walls, berms and slopes. Focus here, however, are those methods preferred by the authors specifically for MSE structures. For a more complete listing of possible instrumentation see the appendix, as well as Dunicliff (1988) for details.

2.1 Basic Surveying – The long established technology of surveying is well suited for monitoring of both vertical and lateral movements. The accuracy is 5-10 mm (0.2-0.4 in.) depending upon the care taken and instruments used, see Figure 3. While the accuracy is not that of other types of monitoring, (e.g., AMTS instruments with reflective prism targets are capable of resolving 1 ppm on distance measurements; Stulgis, 2005) it is adequate to detect MSE wall, berm and slope movements which are always to be expected (remember that these are “flexible” systems) and can be quite large without experiencing collapse; see Figure 4 for reinforced soil slope movements of a 21 m (68 ft.) high slope in Alabama. Such basic surveying can also be used if settlement plates are placed on the foundation soil and properly extended up through the fill during construction. It should also be mentioned that GPS technology can be used with little attention from personnel and is likewise felt to be sufficiently accurate for the purpose considered herein.

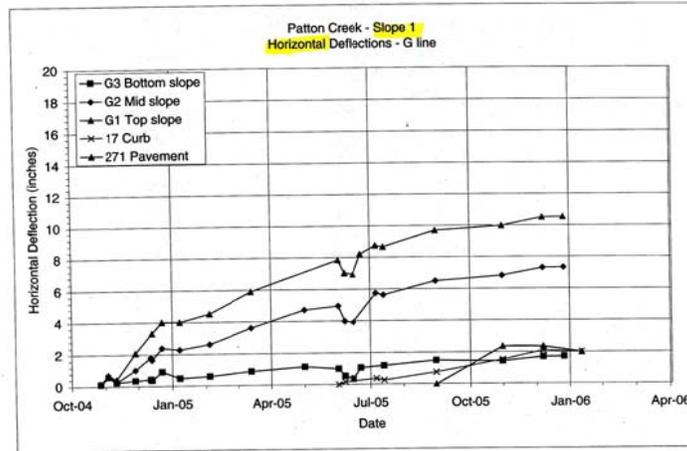


(a) Theodolite for measuring lateral movement
(after Wild Heerbrugg, Inc.)

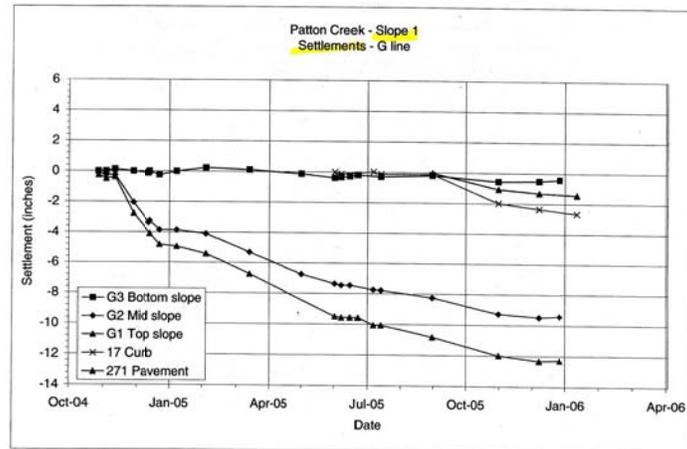


(b) Second-order automatic level for measuring
vertical movement (after Kern Instruments, Inc.)

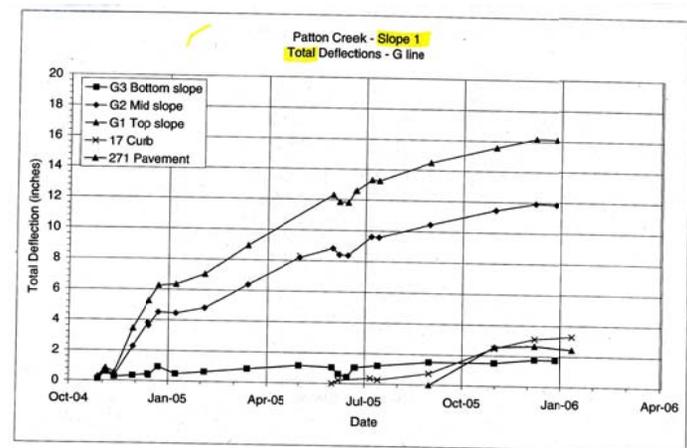
Figure 3 – Standard surveying instruments.



(a) Horizontal deflections



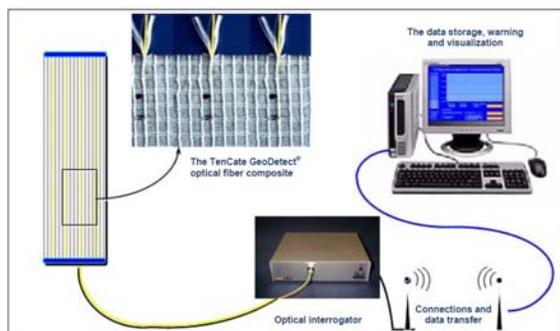
(b) Vertical Settlements



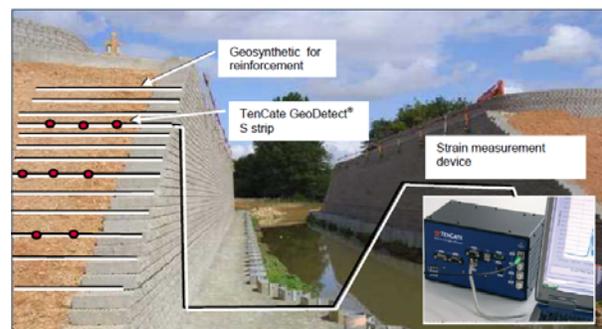
(c) Cumulative deflections

Figure 4 – Deflections at a MSE reinforced slope in Alabama (compl. GEI Consultants, Inc.).

2.2 Continuous Deformation Monitoring – There are several approaches to monitor deformation in the reinforcement for use either directly or to convert to stress by means of a calibration curve. They are classical strain gages or the more recent method using fiber optics. Electrical strain gages have been applied to both geogrids and geotextiles usually by adhesive bonding or mechanical attachment. Data of this type has been generated in both the laboratory and the field and the literature is abundant in this regard. Using fiber optic measurements, glass fibers or poly-optical fibers are applied to a geosynthetic by weaving or knitting. Within the fiber, markers set at distances of decimeters to meters (inches to yards) are used. Elongation and sometimes temperature between any two markers is measured. Specifically aimed at geosynthetics, two systems are available. Fiber optical sensors are initially applied to the geosynthetic itself or to an external carrier textile (see Figure 5); Schneider-Glöetzl, et al. (2010) and Lostumbo and Artieres (2011). The systems can measure strain and monitor movement or distortion of the structure. The accuracy of strain measurement is within 0.2% for the GeoDetect® system. The strips are optimally placed before construction directly on the foundation soil and then incrementally higher in the structure as it is being built. Using directional drilling techniques it might even be possible to deploy the system after construction and evidence of initial movement has been detected.



(a) GeoDetect® system components



(b) Monitoring strains into a geotextile reinforced wall

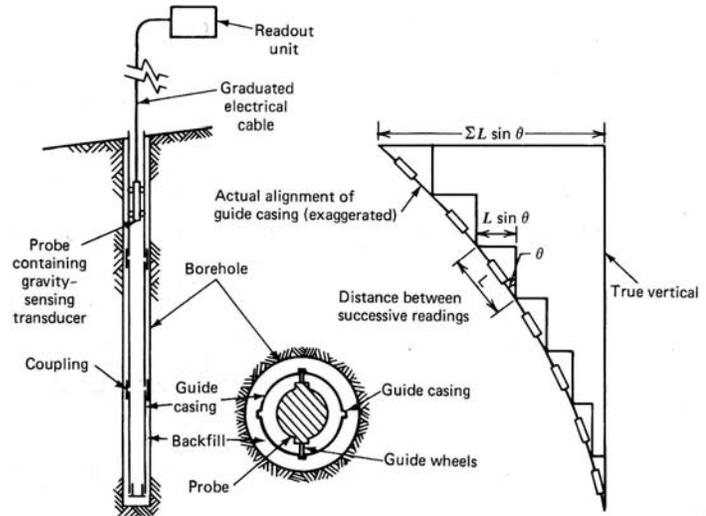
Figure 5 – TenCate GeoDetect® system based on filter optic technology.

2.3 Slope Indicators – Slope indicators were initially developed in the 1970's and have progressed into field-ruggedized and dependable instruments for monitoring lateral deformations. Their accuracy is excellent, i.e., $\pm 8-25$ mm in 30 m ($\pm 0.3-1.0$ in. in 100 ft.). The instrument is based on force balance accelerometers and is torpedo shaped with guide wheels. It slides within a grooved casing which is inserted into an open borehole and then the annulus is backfilled with a grout mix. Readings are incrementally taken and reported accordingly; see Figure 6 in this regard.

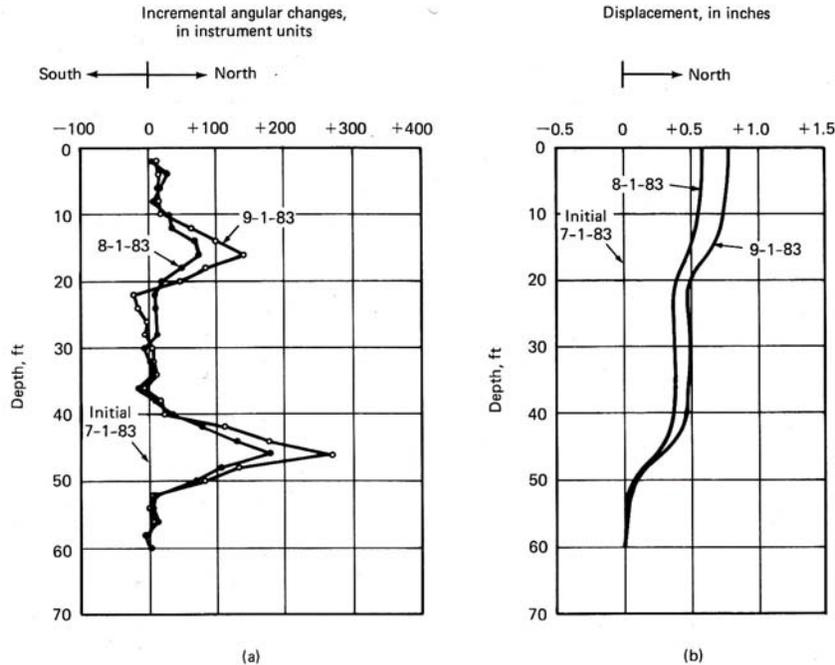
2.4 Piezometers – There are many different types of piezometers and they all basically measure the change in pore water pressure (around the device itself) in a saturated soil mass. In regard to MSE walls, berms and slopes they are appropriate within saturated fine-grained foundation soils beneath the structure. A common type, which is quite reliable, is the vibrating wire piezometer as shown in Figure 7. Piezometers are best utilized when placed before construction begins under the future highest loaded portion of the wall, berm or slope, but have also been used post-construction in the foundation soil in front of the toe of such structures. Readings measuring pore water pressure over time and percent changes from previous readings are indicative of effective stress changes. Decreases in excess pore water pressure indicate soil strength gains and should be anticipated. Increases indicate improper drainage and are obviously causes of concern.



(a) Inclinometer system (after Slope Indicator Co., Seattle, WA)

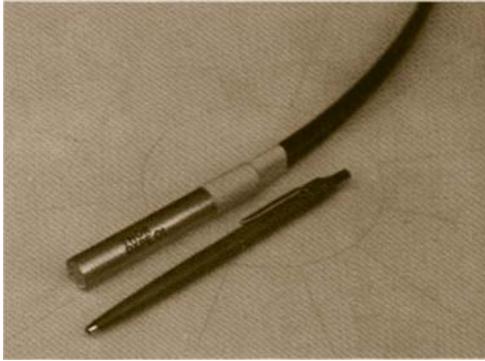


(b) Principal of inclinometer operation

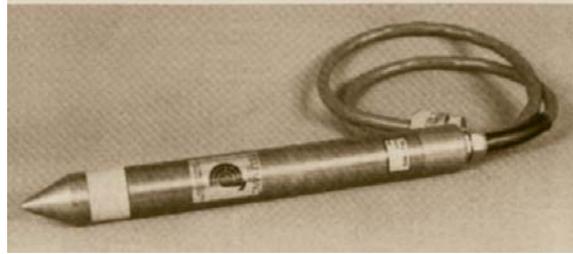


(c) Typical plots of inclinometer data: (a) “change” plot and (b) “cumulative change” plot (after Slope Indicator Company, Seattle, WA)

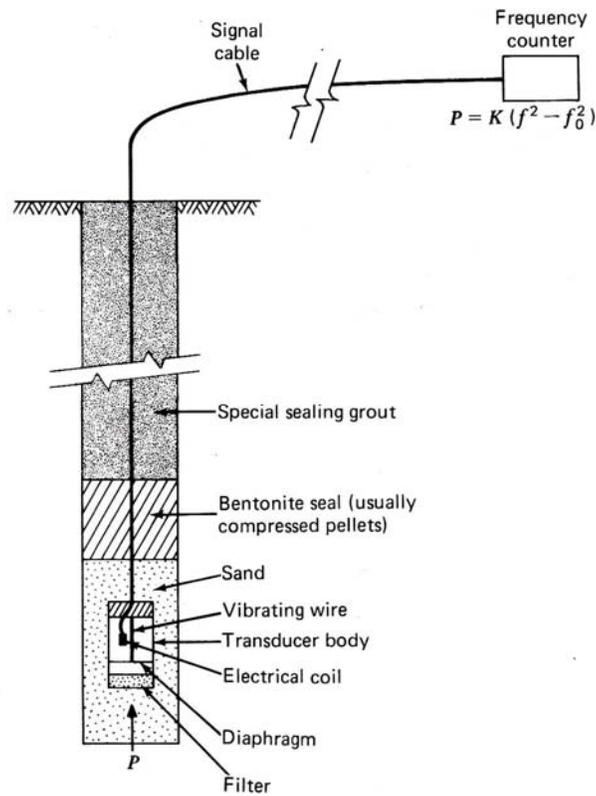
Figure 6 – Equipment concept and photo of slope indicators (after Dunnycliff, 1988).



(a) Pneumatic piezometer (after Thor International, Inc. Seattle, WA)



(b) Vibrating wire piezometer (after Telemac, Asnières, France)



(c) Schematic of vibrating wire piezometer installed in a borehole

Figure 7 – Details of vibrating wire piezometers for pore water pressure monitoring (after Dunnycliff, 1988).

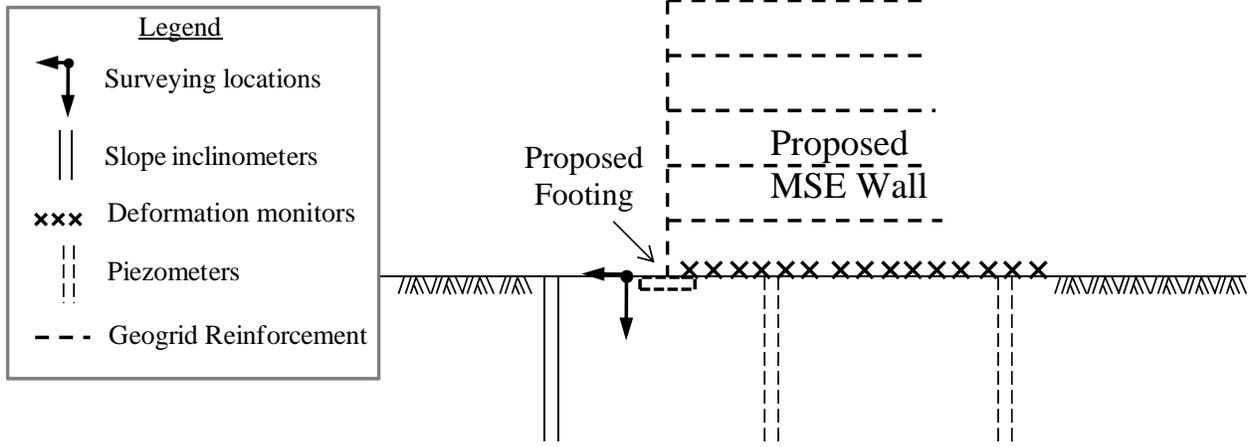
3.0 Instrumentation for MSE Walls and Slopes

Not only is a wealth of instrumentation available for monitoring MSE walls, berms and slopes, the timing and location of their placement are also of importance. This section of the white paper is separated into instrumentation deployment before and after construction.

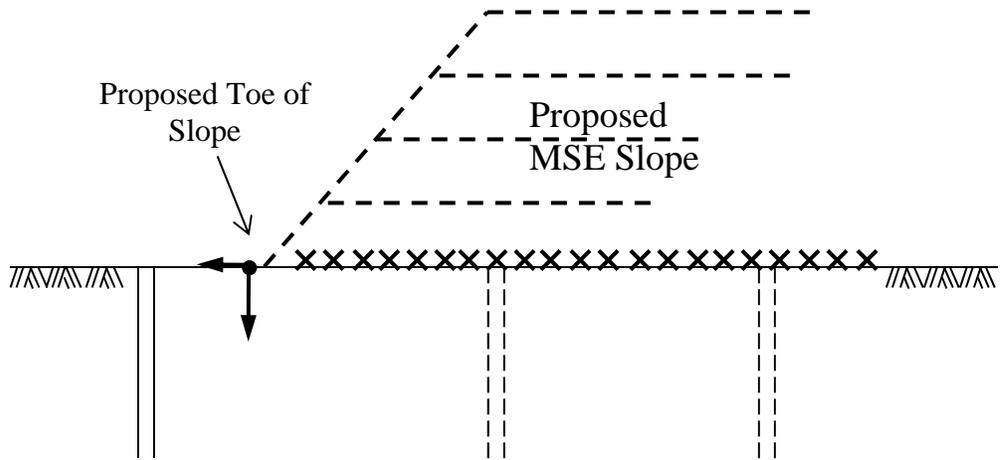
3.1 Instrumentation Before Construction – Inasmuch as MSE structures are considered to be flexible by their very nature, instrumentation might be considered at the outset for critical and/or design challenging situations, e.g., extremely high structures, high surcharge loads, seismic or dynamic loads, soft foundation soil, etc. By monitoring before construction one can quantify any, and all, movements. Such measurements will serve to separate out movements during and after construction. Without such base line or benchmark data it is almost impossible to tell if movements are immediate or long-term.*

In this regard, the authors feel that surveying of the structure's toe of slope should always be performed. Monuments for elevations and lateral position should be offset by 1 to 2 m (3 to 6 ft.) from the toe of slope and set at distances 15-30 m (50-100 ft) apart. See Figure 8 in this regard. A slope indicator(s) at the toe of the slope would also be appropriate particularly in front of the highest section of the proposed wall, berm or slope location. If borings show saturated foundation soil zones or layers, they should be the location of piezometer installations. Their location should be beneath the areas which have the highest fill height. Deformation monitoring along the foundation's surface should also be considered and it can be installed by itself or along with the first layer of reinforcing geosynthetic; recall Figure 5.

*Some acceptable movement should be anticipated during construction and NCMA suggests a two degree outward rotation from the intended facing batter is not unusual.



(a) Recommended layout for MSE walls and berms



(b) Recommended layout for MSE slopes

Figure 8 – Recommended layout of instrumentation to monitor potential movements before construction.

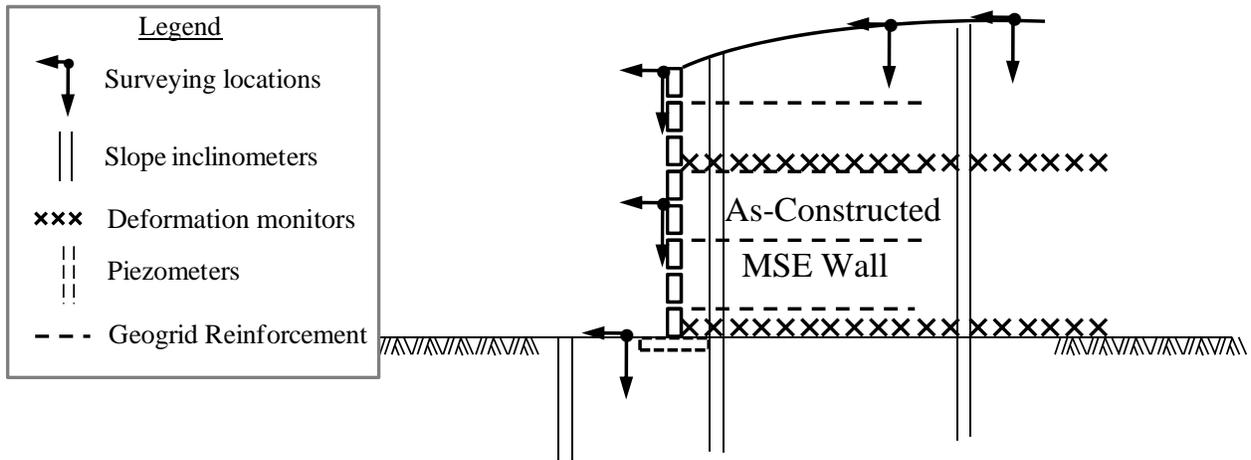
3.2 Instrumentation During and After Construction – Of course, surveying monuments can be installed at any time during and after construction, but the later they are installed the more potential construction induced movement will be lost. That said, “better late, than never” is somewhat applicable. Clearly, the facing at midheight and the top of the wall or slope should have survey monuments installed as soon as possible after final build-out; see Figure 9. As a companion to the toe monuments, these higher monuments should be installed at 15 to 30 m (50 to 100 ft.) separation distances.

During construction, deformation monitoring can be installed at different wall or slope heights, perhaps at the middle or quarter heights at the minimum as shown in Figure 5b. Concern in this regard is over unintentional disturbance, thus the monuments must be carefully considered and placed accordingly.

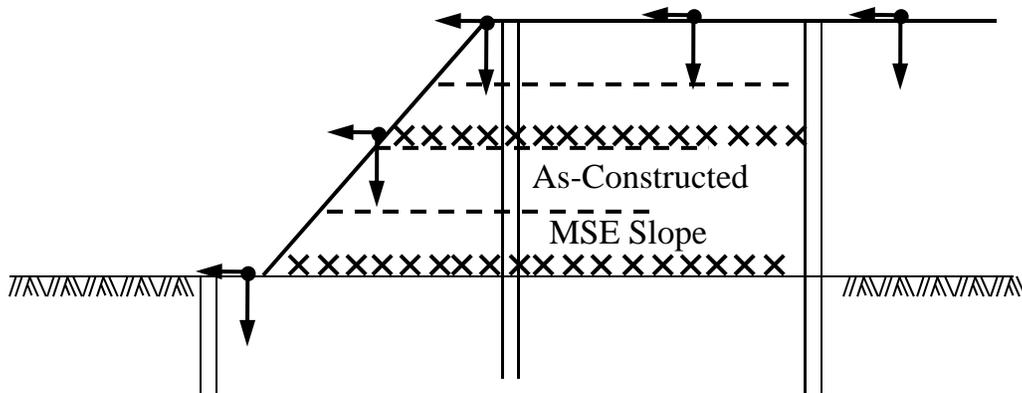
During or after the structure is completed to its full height, a slope indicator(s) should be installed from the top, through the layers of reinforcement, and into the foundation soil; see Figure 9. Note that drilling through the reinforcement layers is somewhat disconcerting and precut holes may be considered, however, vertical alignment of holes is difficult to achieve. Slope indicators can also be placed in front of the structure for foundation movement and/or behind the reinforced soil zone to avoid the reinforcement but then they only capture movements of the retained soil.

It might be noted that if deformation monitoring has not been installed during construction, horizontal extensometers can be installed from the face of the wall or slope. Dunicliff (1988) gives examples of the different types of extensometers.

Additional instrumentation might also be considered, particularly if the surveying, deformation monitors, slope indicators and/or piezometers are showing anomalous behavior; see the experimental wall and related instrumentation described by Stulgis (2005) in this regard.



(a) Recommended layout for MSE walls and berms



(b) Recommended layout for MSE slopes

Figure 9 – Recommended layout of instrumentation to monitor potential movements during and after construction.

Summary and Conclusion

In the Koerner and Koerner (2009) report of 82-failures (all were walls), twenty-three (28%) of them were cases of excessive deformation. It is suggested that all of these deformation cases should have had instrumentation monitoring of the type suggested herein as soon as the initial anomalous feature(s) was observed. It is also suggested that such features are readily observable, e.g., bulges at the toe of the structure, tension cracks at the end of the reinforcement, separation of curb and pavement at the top of the structure, surface water drainage anomalies at the top surface of the structure, leaning light posts, signage, and guard posts, etc. Without the quantification provided by instrumentation monitoring the discussions entered into by the parties involved after visual distortion is observed are extremely difficult due to their lack of quantification. The situation can quickly become contentious in this regard.

In an attempt to mitigate such issues and come to a suggested course of action this white paper has been prepared. In it, only select instrumentation devices and strategies are described. There are many others which might be considered. Also, an indication of where the suggested instruments should be located is offered. Here there are also many possible options.

One closing comment is that instrumentation should be considered before MSE wall, berm or slope construction begins. Any feature considered *atypical* (very high systems, heavy surcharge loads, seismic areas, adjacent water courses, etc.) or *challenging* (soft foundation soils, poor quality backfill, limited construction oversight, drainage and other piping systems located within the reinforced soil zone, etc.) should bring out the possibility, or necessity, of monitoring instrumentation. Whenever an MSE wall, berm or slope is considered “critical”, the parties involved should have such discussions as early in the process as possible and even before the structure is constructed.

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**Appendix – Possible Instruments for Monitoring Reinforced Soil Structures
(after Elias, Christopher and Berg, 2001)**

<u>PARAMETERS</u>	<u>POSSIBLE INSTRUMENTS</u>
Horizontal movements of face	Visual observation Surveying methods Horizontal control stations Tiltmeters
Vertical movements of overall structure	Visual observation Surveying methods Benchmarks Tiltmeters
Local movements or deterioration of facing elements	Visual observation Crack gauges
Drainage behavior of backfill	Visual observation at outflow points Open standpipe piezometers
Horizontal movements within overall structure	Survey methods (e.g., transit) Horizontal control stations Probe extensometers Fixed embankment extensometers Inclinometers Tiltmeters
Vertical movements within overall structure	Survey methods Benchmarks Probe extensometers Horizontal inclinometers Liquid level gauges
Performance of structure supported by reinforced soil	Numerous possible instruments (depends on details of structure)
Lateral earth pressure at the back of facing elements	Earth pressure cells Strain gauges at connections Load cells at connections
Stress distribution at base of structure	Earth pressure cells

PARAMTERS (cont.)

Stress reinforcement

Stress distribution in reinforcement due to surcharge loads

Relationship between settlement and stress-strain distribution

Stress relaxation in reinforcement

Total stress within backfill and at back of reinforced wall section

Pore pressure response below structures

Temperature

Rainfall

Barometric pressure

POSSIBLE INSTRUMENTS (cont.)

Resistance strain gauges

Induction coil gauges

Hydraulic strain gauges

Vibrating wire strain gauges

Multiple telltales

Same instruments as for stress in reinforcement

Same instruments as for:

- vertical movements of surface of overall structure
- vertical movements within mass of overall structure
- stress in reinforcement

Earth pressure cells

Same instruments as for stress in reinforcement

Earth pressure cells

Open standpipe piezometers

Pneumatic piezometers

Vibrating wire piezometers

Ambient temperature record

Thermocouples

Thermistors

Resistance temperature devices

Frost gauges

Rainfall gauges

Barometric pressure gauges